

# Kottamides A–D: Novel Bioactive Imidazolone-Containing Alkaloids from the New Zealand Ascidian *Pycnoclavella kottae*

David R. Appleton,<sup>†</sup> Michael J. Page,<sup>‡</sup>  
Gretchen Lambert,<sup>§</sup> Michael V. Berridge,<sup>||</sup> and  
Brent R. Copp<sup>\*,†</sup>

Department of Chemistry, The University of Auckland,  
Private Bag 92019, Auckland, New Zealand,  
National Institute of Water and Atmospheric Research,  
P.O. Box 14-901, Kilbirnie, Wellington, New Zealand,  
Department of Biological Science, State University of  
Fullerton, Fullerton, California 92834, and Malaghan  
Institute of Medical Research, Level H, Wellington School of  
Medicine, P.O. Box 7060, Wellington South, New Zealand

b.copp@auckland.ac.nz

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**Abstract:** Kottamides A–D (**1–4**), novel 2,2,5-trisubstituted imidazolone-containing alkaloids, were isolated from the New Zealand endemic ascidian *Pycnoclavella kottae* and structurally characterized using <sup>15</sup>N natural abundance 2-D NMR in addition to standard spectroscopic methods. The kottamides exhibited anti-inflammatory and anti-metabolic activity as well as cytotoxicity toward tumor cell lines.

Ascidians have proven to be a rich source of amino acid-derived compounds, some with potent biological activities.<sup>1</sup> Such compounds vary in structure from simple peptide analogues to more complex cyclic peptides and alkaloids.<sup>2</sup>

As part of our ongoing study of New Zealand ascidians as sources of novel biologically active secondary metabolites,<sup>3</sup> we now report the isolation and structural elucidation, including the use of <sup>15</sup>N natural abundance 2-D NMR, of kottamides A–D (**1–4**) from the endemic ascidian *Pycnoclavella kottae* (Millar, 1960) (order Aplousobranchia, family Pycnoclavellidae)<sup>4</sup> collected at the Three Kings Islands, New Zealand. The kottamides are novel imidazol-4-one-containing alkaloids possessing unprecedented substitution. While imidazol-4-one-bearing alkaloids have been reported as synthetic precursors,<sup>5</sup> only the rhopaladins from ascidians,<sup>6</sup> and the fused

examples luciferin<sup>7</sup> and coelenterazine,<sup>8</sup> have been reported from natural sources. The kottamides are the first examples of 2,2,5-trisubstituted imidazolone natural products. To the best of our knowledge, this is the first reported study on chemistry from an ascidian of the genus *Pycnoclavella*.

Fractionation of the cytotoxic organic extract using C<sub>18</sub> reversed-phase column chromatography followed by repeated semipreparative C<sub>18</sub> HPLC afforded four related compounds that were characterized using spectroscopic techniques.

A molecular formula of C<sub>21</sub>H<sub>24</sub>Br<sub>2</sub>N<sub>4</sub>O<sub>2</sub> for **1** was established by HREIMS with the observed isotope pattern supporting the presence of two bromine atoms. UV absorbances of 284 and 236 nm suggested an aromatic chromophore. Inspection of the <sup>1</sup>H NMR spectrum and COSY data established the presence of four independent <sup>1</sup>H spin systems, two aromatic/olefinic and two alkyl, in addition to an isolated exchangeable proton at δ 7.46 (H-3). A broad <sup>1</sup>H NMR resonance at δ 8.43 (H-12) coupled to δ 7.19 (H-11) and <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-11 to the quaternary carbons at δ 135.5 (C-13) and 127.1 (C-18) suggested an indole moiety,<sup>9,10</sup> while proton singlets at δ 7.73 (H-14) and 7.85 (H-17) indicated that the indole was 5,6-disubstituted. <sup>1</sup>H NMR resonances at δ 5.93 (H-9), 6.93 (H-8), and 8.23 (NH-7) and <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-8 to C-10 (δ 110.8) and from H-9 to C-10, C-11 (δ 123.5), and C-18 indicated that the indole moiety was substituted at C-10 with a Z-geometry enamide fragment (*J*<sub>HH</sub> 9.1 Hz).<sup>9</sup> The <sup>13</sup>C carbonyl resonance at δ 166.0 was assigned to C-6 by way of <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-7 and H-8. The two alkyl spin systems were established as 2-propyl and 2-butyl groups on the basis of interpretation of COSY, TOCSY, <sup>1</sup>H–<sup>13</sup>C HSQC, and <sup>1</sup>H–<sup>13</sup>C HMBC data. The 2-propyl group was placed at the quaternary C-2 (δ 88.5) by <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-1' (δ 0.83, d), H-2' (δ 2.42, septuplet), and H-3' (δ 1.05, d) to C-2 and from H-2' to C-6 while the 2-butyl group was placed at C-5 (δ 176.1) by <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-1'' (δ 1.12, d) and H-3'' (δ 1.45, 1.32) to C-5. The remaining atoms, CHN<sub>2</sub>O, required three degrees of unsaturation, suggesting a ring and two double bonds. Assignment was achieved by interpretation of <sup>1</sup>H–<sup>13</sup>C HMBC, <sup>1</sup>H–<sup>15</sup>N HSQC and HMBC data<sup>11</sup> (see the Supporting Information). Crucial <sup>1</sup>H–<sup>15</sup>N HMBC correlations from H-2' to N-1 (δ 326.1) and N-3 (δ 132.2), and from H-2'' (δ 2.76) to N-1, combined with <sup>1</sup>H–<sup>13</sup>C HMBC correlations from H-2'' to C-4 (δ 164.0) and from the broad proton resonance at <sup>1</sup>H δ 7.46 (H-3) to C-2, C-4, and C-5 established the presence of a 2,2,5-trisubstituted imidazol-4-one ring. Chemical shifts of C-4 and C-5 were comparable to those of similar ring-systems.<sup>5</sup> The planar structure of kottamide A could

\* To whom correspondence should be addressed. Tel: 64-9-373-7599 ext 8284. Fax: 64-9-373-7422.

<sup>†</sup> The University of Auckland.

<sup>‡</sup> Institute of Water & Atmospheric Research Ltd. Current address: NIWA Nelson, P.O. Box 893, New Zealand.

<sup>§</sup> California State University Fullerton. Current address: 12001 11th Ave NW, Seattle, WA 98177.

<sup>||</sup> Malaghan Institute of Medical Research.

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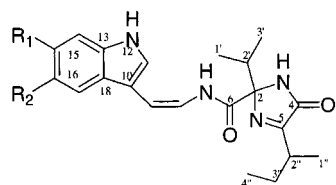
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therefore be assigned as **1**. Stereochemistry at C-2 and C-2'' ( $\delta$  34.3) was not deduced.

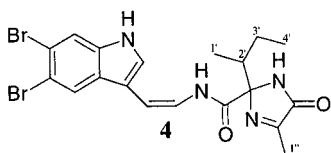
Kottamides B (**2**) and C (**3**) were obtained as an inseparable mixture in 0.8:1.0 ratio as determined by NMR and analytical HPLC. FABMS indicated the presence of only one bromine atom in each of **2** and **3**. Inspection of the  $^1\text{H}$  NMR spectrum and 2D-NMR data for the mixture confirmed the presence of two different mono-brominated analogues of kottamide A (**1**). An  $^1\text{H}$ – $^{13}\text{C}$  HMBC correlation from H-16 ( $\delta$  7.28) to C-18 ( $\delta$  125.2) for the minor compound in the mixture, while a correlation from H-15 ( $\delta$  7.36) to C-13 ( $\delta$  134.6) for the major compound, confirmed **2** and **3** as the 16-debromo and 15-debromo analogues, respectively.



**1**  $\text{R}_1=\text{Br}$ ,  $\text{R}_2=\text{Br}$

**2**  $\text{R}_1=\text{Br}$ ,  $\text{R}_2=\text{H}$

**3**  $\text{R}_1=\text{H}$ ,  $\text{R}_2=\text{Br}$



HREIMS of **4** gave a molecular formula of  $\text{C}_{19}\text{H}_{20}\text{Br}_2\text{N}_4\text{O}_2$ . NMR data observed for **4** were almost identical to those observed for kottamide A (**1**). Differences, however, were noted in the nature of the substituents on the imidazolone ring and the points of their attachment. Imidazolone ring carbon C-2 was shifted downfield slightly to  $\delta$  89.2, while C-5 was shifted upfield to  $\delta$  169.3. The 2-propyl group present in **1** was absent in **4**, while the 2-butyl could be placed at C-2 by way of  $^1\text{H}$ – $^{13}\text{C}$  HMBC correlations from  $\delta$  0.97 (3H, d,  $J = 6.8$  Hz, H-1') and  $\delta$  1.51, 0.88 (each 1H, m, H-3') to C-2. The final substituent on the imidazolone ring was established as a methyl group ( $\delta_{\text{H}}$  2.17,  $\delta_{\text{C}}$  14.4), which was placed at C-5 by virtue of the observation of strong  $^1\text{H}$ – $^{13}\text{C}$  HMBC correlations from H-1'' to C-4 ( $\delta$  164.5) and C-5.

Comparison of analytical reversed-phase  $\text{C}_{18}$  HPLC traces of the crude organic extract of *P. kottae* and each of the isolated kottamides, **1**, **2/3**, and **4**, confirmed them to be the major components of the extract.

Kottamide D (**4**) was investigated for anti-inflammatory and anti-metabolic properties in microplate assays using the cell-impermeable tetrazolium salt WST-1 (2-(4-iodophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium, monosodium salt) and the intermediate electron acceptor 1-methoxy phenazinemethosulfate (PMS).<sup>12</sup> Compound **4** exhibited potent anti-metabolic activity ( $\text{IC}_{50}$  6–10  $\mu\text{M}$ ) with both anti-proliferative and anti-inflammatory activity in the 2–200  $\mu\text{M}$  range. In a short-term metabolic assay over 1.25 h, **4** inhibited the reduction of WST-1 by human HL60 and Jurkat cells by

83–92% at 40  $\mu\text{M}$ . While, over 48 h, **4** inhibited proliferation of HL60 cells by 66% at 20  $\mu\text{M}$  and 9% at 2  $\mu\text{M}$ . Similar results were obtained with the nontransformed interleukin-3-dependent murine cell line, 32Dcl23. Under the same conditions, the tubulin-stabilizing anti-cancer drug Taxol inhibited the reduction of WST-1 by only 3–5% in the short-term assay but after 48 h inhibited proliferative responses by 64–81% at 1  $\mu\text{M}$ . In an anti-inflammatory assay using activated human peripheral blood neutrophils, **4** inhibited superoxide production generated in response to the inflammation promoting agents *N*-formylmethionylleucylphenylalanine (fMLP) and phorbol myristate acetate (PMA) in the range 95–100% (200  $\mu\text{M}$  concentration).<sup>13</sup> In contrast, Taxol did not inhibit the fMLP response and only weakly inhibited PMA-induced superoxide production.

Kottamides A–D (**1**–**4**) were also assayed for a range of cytotoxic and antimicrobial properties. All four kottamides exhibited moderate P388 activity with  $\text{IC}_{50}$  values of 20, 14, and 36  $\mu\text{M}$  for compounds **1**, the **2/3** mixture, and **4**, respectively. Further evaluation of **1** at the NCI revealed modest cytotoxicity (panel average values:  $\text{GI}_{50}$  15.1, TGI 33.9, and  $\text{LC}_{50}$  67.6  $\mu\text{M}$ ). Compound **1** was also tested for cytotoxicity/antiviral activity against the African Green Monkey kidney cell line (BSC-1) infected with the RNA virus PV1<sup>10</sup> and was found to have moderate cytotoxicity (zone size > 4.5 mm, 240  $\mu\text{g}$  loading) and some antiviral activity (zone size 1–2 mm). Compound **1** exhibited no antimicrobial activity in disk assays against the bacteria *Bacillus subtilis* and *Escherichia coli* or the fungi *Candida albicans* and *Trichophyton mentagrophytes* at 240  $\mu\text{g}$  loading.

A plausible biogenesis of **1**–**4** involves stereospecific imidazolone ring formation from modified Trp-Val-Ile and Trp-Ile-Ala tripeptide precursors.

## Experimental Section

**General Experimental Procedures.** Details of general procedures, analytical HPLC conditions,<sup>14</sup> and biological assays<sup>10</sup> have been reported previously.  $^1\text{H}$ – $^{15}\text{N}$  NMR data were collected on a 400 MHz spectrometer equipped with a 5 mm triple-resonance HCN inverse detection probe. Standard Bruker pulse sequences were utilized.  $^1\text{H}$ – $^{15}\text{N}$  HMBC: Number of scans 112, increments 256, optimized for 6.0 Hz, experiment time 14 h.  $^1\text{H}$ – $^{15}\text{N}$  HSQC: Number of scans 24, increments 256, optimized for 87 Hz, experiment time 1.5 h. Data were referenced to liquid  $\text{NH}_3$  using urea as an external standard.

**Collection, Extraction, and Isolation Procedures.** Specimens (collection no. 99MNP0103) of *P. kottae* were collected from the Three Kings Islands, New Zealand, and identified by one of us (G.L.). The ascidians were freeze-dried (dry weight 31.54 g) and exhaustively extracted with MeOH and  $\text{CH}_2\text{Cl}_2$ . The solvents were removed in vacuo yielding a brown/orange extract (7.44 g). A portion (4.13 g) of crude extract was fractionated using  $\text{C}_{18}$  reversed-phase flash column chromatography using a steep gradient from MeOH/ $\text{H}_2\text{O}$  (60:40) to MeOH. Compounds of interest were concentrated in the 75% MeOH fraction. Repeated semipreparative HPLC ( $\text{C}_{18}$ , MeOH/ $\text{H}_2\text{O}$  (85:15); 5 mL/min) yielded kottamide A (**1**) (3.9 mg, 0.020% dry wt) and a mixture of related compounds. The related compounds were then separated using further semipreparative HPLC ( $\text{C}_{18}$ , MeOH/ $\text{H}_2\text{O}$  (80:20); 5 mL/min) yielding an inseparable mixture of kottamides

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**TABLE 1.**  $^1\text{H}$  NMR Data ( $\text{CDCl}_3$ ) ( $\delta$ , Mult,  $J$ ) for Kottamides A (1), B (2), C (3), and D (4)

atom	1	2	3	4
3	7.46 (bs)	7.23 (bs)	7.23 (bs)	7.25 (bs)
7	8.23 (bd, 11.2)	8.25 (bd, 10.5)	8.27 (bd, 10.9)	8.13 (bd, 11.2)
8	6.93 (dd, 11.3, 9.1)	6.92 (dd, 11.3, 9.2)	6.92 (dd, 11.3, 9.2)	6.91 (dd, 11.2, 9.2)
9	5.93 (d, 9.1)	6.00 (d, 9.9)	5.97 (d, 10.0)	5.95 (d, 9.1)
11	7.19 (d, 1.9)	7.18 (d, 2.4)	7.20 (d, 2.3)	7.20 (d, 2.3)
12	8.43 (bs)	8.32 (bs)	8.35 (bs)	8.39 (bs)
14	7.73 (s)	7.58 (d, 1.6)	7.30 (d, 8.6)	7.73 (s)
15			7.36 (dd, 8.6, 1.8)	
16		7.28 (dd, 8.6, 1.7)		
17	7.85 (s)	7.43 (d, 8.4)	7.72 (d, 1.6)	7.81 (s)
1'	0.83 (d, 6.8)	0.83 (d, 6.8)	0.85 (d, 6.8)	0.97 (d, 6.8)
2'	2.42 (sep, 6.9)	2.41 (sep, 6.9)	2.41 (sep, 6.9)	2.08 (m)
3'	1.05 (d, 6.8)	1.01 (d, 6.7)	1.05 (d, 6.7)	1.51, 0.88 (m)
4'				0.91 (t, 6.8)
1''	1.12 (d, 7.0)	1.14 (d, 7.2)	1.12 (d, 7.1)	2.17 (s)
2''	2.76 (m)	2.76 (m)	2.76 (m)	
3''	1.45, 1.32 (m)	1.49, 1.35 (m)	1.49, 1.35 (m)	
4''	0.67 (t, 7.5)	0.72 (t, 7.5)	0.67 (t, 7.5)	

B (2) and C (3) (2.6 mg, 0.015% dry wt; 0.8:1.0) and kottamide D (4) (2.1 mg, 0.012% dry wt).

**Kottamide A (1).** Compound 1 was obtained as a white amorphous solid:  $[\alpha]_D^{20} +160$  ( $c$  0.20, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\epsilon$ ) 236 (4.46), 284 (4.14) nm; IR (smear)  $\nu_{\text{max}}$  3271, 2925, 1712, 1531, 1490, 1452  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR data, see Table 1;  $^{13}\text{C}$  NMR and  $^{15}\text{N}$  NMR data, see Table 2; EIMS  $m/z$  (rel int) 526/524/522  $[\text{M}]^+$  (2/4/2), 344/342/340 (2/3/2), 320/318/316 (3/6/3), 305/303/301 (7/13/6), 304/302/300 (5/10/5); HREIMS  $m/z$  526.0215 (calcd for  $\text{C}_{21}\text{H}_{24}^{81}\text{Br}_2\text{N}_4\text{O}_2$  526.0225), 524.0231 (calcd for  $\text{C}_{21}\text{H}_{24}^{79}\text{Br}^{81}\text{BrN}_4\text{O}_2$  524.0246), 522.0257 (calcd for  $\text{C}_{21}\text{H}_{24}^{79}\text{Br}_2\text{N}_4\text{O}_2$  522.0266).

**Kottamides B (2) and C (3).** The mixture of compounds 2 and 3 was obtained as a white amorphous solid (0.8:1 ratio):  $[\alpha]_D^{20} +245$  ( $c$  0.20, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\epsilon$ ) 232 (4.44), 286 (4.13) nm; IR (smear)  $\nu_{\text{max}}$  3291, 2968, 1714, 1682, 1533, 1493, 1456  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR data, see Table 1;  $^{13}\text{C}$  NMR data, see Table 2; FABMS  $m/z$  447/445  $[\text{M} + \text{H}]^+$ , 446/444  $[\text{M}]^+$ ; HR-FABMS  $m/z$  446.1150 (calcd for  $\text{C}_{21}\text{H}_{25}^{81}\text{BrN}_4\text{O}_2$  446.1140), 444.1163 (calcd for  $\text{C}_{21}\text{H}_{25}^{79}\text{BrN}_4\text{O}_2$  444.1161).

**Kottamide D (4).** Compound 4 was obtained as a white amorphous solid:  $[\alpha]_D^{20} +150$  ( $c$  0.20, MeOH); UV (MeOH)  $\lambda_{\text{max}}$  (log  $\epsilon$ ) 237 (4.39), 286 (4.11) nm; IR (smear)  $\nu_{\text{max}}$  3293, 2968, 1715, 1671, 1531, 1489, 1452  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR data, see Table 1;  $^{13}\text{C}$  NMR data, see Table 2; EIMS  $m/z$  (rel int) 498/496/494  $[\text{M}]^+$  (4/8/4), 344/342/340 (12/24/12), 316/314/312 (3/7/3), 289/287/285 (4/8/4); HREIMS  $m/z$  497.9898 (calcd for  $\text{C}_{19}\text{H}_{20}^{81}\text{Br}_2\text{N}_4\text{O}_2$  497.9912), 495.9930 (calcd for  $\text{C}_{19}\text{H}_{20}^{79}\text{Br}^{81}\text{BrN}_4\text{O}_2$  495.9933), 493.9930 (calcd for  $\text{C}_{19}\text{H}_{20}^{79}\text{Br}_2\text{N}_4\text{O}_2$  493.9953).

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**TABLE 2.**  $^{13}\text{C}$  and  $^{15}\text{N}^a$  NMR and HMBC Data ( $\text{CDCl}_3$ ) for Kottamides A (1), B (2), C (3), and D (4)

atom	1	2	3	4
N-1	326.1 (2', 2'')			
2	88.5 (3, 1', 2', 3')	88.5 (3, 1', 2', 3')	88.5 (3, 1', 2', 3')	89.2 (1', 3', 1'')
N-3	132.2 (2')			
4	164.0 (3, 2'')	164.0 (3, 2'')	164.0 (3, 2'')	164.5 (1'')
5	176.1 (3, 1'', 2'', 3'')	176.0 (3, 1'', 2'', 3'')	176.0 (3, 1'', 2'', 3'')	169.3 (3, 1'')
6	166.0 (7, 8, 2')	165.9 (8, 2')	165.9 (8, 2')	165.8
N-7	132.1 (8, 9)			
8	120.4 (9)	119.9 (9)	119.9 (9)	120.2 (9)
9	103.4 (7, 8)	104.0 (8)	103.9 (8)	103.5 (8)
10	110.8 (8, 9, 11, 17)	111.4 (8, 11)	110.8 (8, 11)	110.8 (8, 9, 11, 17)
11	123.5 (9)	122.2 (9)	122.9 (9)	123.4 (9)
N-12	123.0 (11, 14)			
13	135.5 (11, 17)	136.7 (11, 17)	134.6 (11, 15, 17)	135.5 (11, 17)
14	116.0	114.2 (16)	112.7	116.1
15	118.4 (14, 17)	116.7 (14, 17)	126.0 (17)	118.4 (14, 17)
16	115.95 (14, 17)	123.8 (14)	113.8 (14, 17)	115.9 (14, 17)
17	123.7	120.5	112.7 (15)	123.7
18	127.1 (9, 11, 14)	125.2 (9, 11, 14, 16)	128.0 (9, 11, 14)	127.1 (9, 11, 14)
1'	15.5 (2', 3')	15.6 (2', 3')	15.6 (2', 3')	13.2
2'	35.7 (1', 3')	35.6 (1', 3')	35.6 (1', 3')	42.4 (1', 3', 1'')
3'	16.9 (1', 2')	16.9 (1', 2')	16.9 (1', 2')	22.4 (1', 4')
4'				11.9 (3')
1''	17.0 (2'', 3'')	17.1 (3'')	17.1 (3'')	14.4
2''	34.3 (1'', 3'', 4'')	34.3 (1'', 3'', 4'')	34.3 (1'', 3'', 4'')	
3''	26.1 (1'', 2'', 4'')	26.1 (1'', 4'')	26.1 (1'', 4'')	
4''	11.3 (2'', 3'')	11.4 (2'', 3'')	11.4 (2'', 3'')	

<sup>a</sup>  $^{15}\text{N}$  data were determined for 1 only.

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**Supporting Information Available:**  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of 1–4. COSY,  $^1\text{H}$ – $^{13}\text{C}$  HSQC,  $^1\text{H}$ – $^{13}\text{C}$  HMBC,  $^1\text{H}$ – $^{15}\text{N}$  HMBC, and  $^1\text{H}$ – $^{15}\text{N}$  HSQC spectra of 1. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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